

**CEDAR RAPIDS**

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**City Of Five Seasons**

*“Cedar Rapids is a vibrant urban hometown – a beacon for people and businesses that are invested in building a greater community for the next generation”*

**Request for Proposal**

June 1, 2009

**BANKING SERVICES – PROPOSAL #0509-319  
Cedar Rapids Treasury Operations**

City of Cedar Rapids  
Purchasing Services Division

<b>SECTION I</b> <b>NOTICE OF REQUEST FOR PROPOSALS</b>
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1.0 Receipt and Opening of Proposals

1.0.1 All proposals must be received in a sealed envelope in City Hall (date and time stamped) by Monday, June 15, 2009 at 11:00 am (our clock), Central Daylight Time, in order to be considered. City Hall is located at 3851 River Ridge Dr. NE, Cedar Rapids, Iowa. Proposals sent via facsimile or by e-mail will not be accepted. If a proposal is sent by mail or other delivery system, the mailing container or envelope shall be plainly marked on the outside with the notation '**SEALED RFP – BANKING SERVICES**' due on, **June 15, 2009 at 11:00 am CDT**. Please submit one (1) original, and three (3) copies and one (1) CD-ROM of your proposal.

1.1 RFP Timeline

<b>Name of the Proposal</b>	Banking Services
<b>Date of Issuance</b>	June 1, 2009
<b>Pre-Proposal Meeting</b>	Monday, June 8, 2009 at 11:00 am CDT City Hall Conference Room B 3851 River Ridge Dr NE Cedar Rapids, Iowa
<b>Deadline for Questions</b>	Monday, June 8, 2009 at 2:30 pm CDT
<b>Deadline for Proposal Submittal</b>	Monday, June 15, 2009 at 11:00 am CDT
<b>Submit Sealed Proposals to: Address <u>exactly</u> as stated→→→</b>	Sealed RFP – Banking Services City Hall North 3851 River Ridge Dr. NE Cedar Rapids IA 52402
<b>Method of Submittal</b>	Mail or Overnight Delivery, In Person Fax or e-mail proposals <b>are not</b> acceptable
<b>Contact Person, Title E-mail Address Phone/ Fax Numbers</b>	Diane Rodenkirk, CPPB d.rodenkirk@cedar-rapids.org Phone: 319-286-5023 Fax: 319-286-5130

1.2 The City reserves the right to accept or reject any or all proposals and to waive any informalities in proposals if such waiver does not substantially change the offer or provide a competitive advantage to any Company.

1.3 The City is not responsible for delays occasioned by the U.S. Postal Service, the internal mail delivery system of the City, or any other means of delivery employed by the Proposer. Similarly, the City is not responsible for, and will not open, any proposal responses that are received later than the date and time stated above. Late proposals will be retained in the RFP file, unopened. No responsibility will be attached to any person for premature opening of a proposal not properly identified.

1.4 Proposals will be opened on Monday, June 15, 2009 at 11:00 am (our clock) CDT in City Hall, 3851 River Ridge Dr. NE, Cedar Rapids. Only the names of companies who submitted

proposals will be revealed. The main purpose of this opening is to reveal the name(s) of the Proposer(s), not to serve as a forum for determining the awarded proposal(s).

- 1.5 Proposals will be evaluated promptly after opening. After an award is made, a proposal summary will be sent to all companies who submitted a proposal. Proposal results will not be given over the telephone. Proposals may be withdrawn anytime prior to the scheduled closing time for receipt of proposals; no proposal may be modified or withdrawn for a period of sixty (60) calendar days thereafter.

## SECTION II INSTRUCTIONS TO PROPOSERS

- 2.0 Wherever requested throughout this document, a company representative who is authorized to bind the Company will sign on behalf of the company to indicate to the City that you have read, understand and will comply with the Instructions and all Terms and Conditions attached hereto. The City of Cedar Rapids reserves the right to reject any or all proposals, and to accept in whole or in part, the proposal, which, in the judgment of the proposal evaluators, is the most responsive and responsible proposal.
- 2.1 Insurance
- 2.1.1 Protection of City Funds
- 2.1.1.1 The Company agrees to be responsible for and fully reimburse the City for cash, checks, and funds stolen, lost, destroyed, deposited into non-City bank accounts, or misappropriated by other means while in the Company's care, custody and control. Please include with your proposal a description of any insurance or bond coverage you purchase to cover this exposure.
- 2.1.2 Insurance Requirements
- 2.1.2.1 A Certificate of Insurance must be on file and approved by the City's Risk Manager before this project can begin. All Companies are required to submit a Certificate of Insurance with the proposal. (See page 14, which details the exact requirements of the City)
- 2.2 This Request for Proposal does not commit the City to make an award, nor will the City pay any costs incurred in the preparation and submission of proposals, or costs incurred in making necessary studies for the preparation of proposals.
- 2.3 Proposers are encouraged to keep proposals concise and to the point. Elaborate brochures are not needed and are discouraged.
- 2.4 Proposers may either complete Attachment A on the form or submit the answers in Proposer's own format. If using own format, proposals shall include the Item ID Number, a table of contents and corresponding page numbers. Pages should be consecutively numbered in the right hand corner and each page should have a footer indicating the name of the Proposer.
- 2.5 Addenda
- 2.5.1 Any matter of this proposal package that requires explanation or interpretation must be inquired into by the Company in writing by Monday, June 8, 2009 at 2:30 pm CDT. FAX or E-MAIL all questions to Diane Rodenkirk (319/286-5130 or [d.rodenkirk@cedar-rapids.org](mailto:d.rodenkirk@cedar-rapids.org)). Any and all questions will be responded to in the form of written addenda to all Companies. All addenda that you receive shall become a part of the Contract Documents and shall be acknowledged and dated on the bottom of the Signature Page (page 11). All Addenda will be posted on the City's website, <http://www.cedar-rapids.org/purchasing/bids.asp>. It is the Proposer's responsibility to check for addenda.
- 2.6 Important Exceptions to Contract Documents - The Company shall clearly state in the submitted proposal any exceptions to, or deviations from, the minimum proposal requirements, and any exceptions to the terms and conditions of this RFP. Such exceptions or deviations will be considered in evaluating the proposals. Any exceptions should be noted on the Signature Page. Companies are cautioned that exceptions taken to this RFP may cause their proposal to be rejected.

- 2.7 Incomplete Information - Failure to complete or provide any of the information requested in this Request for Proposal, including references, and/or additional information as indicated, may result in disqualification by reason of "non responsiveness".

**SECTION III  
SPECIAL TERMS AND CONDITIONS**

3.0 Term of Contract

- 3.0.1 The initial term of the contract shall be for four (4) years anticipated to be July 1, 2009 through June 30, 2013.
- 3.0.2 The City and the Contractor may renew the original contract for one (1) additional one-year time period by mutual agreement. Thirty (30) to sixty (60) days notice must be given to renew the contract for additional increments.
- 3.0.3 A Letter of Agreement, prepared by the City and signed by the City Manager, shall become the document that authorizes the contract to begin, assuming the insurance requirements (if applicable) have been met. Each section contained herein, any addenda and the response from the successful bidder shall also be incorporated by reference into the resulting agreement. Similar products and/or services may be added and pricing negotiated during the term of the contract.
- 3.0.4 No price escalation will be allowed during the initial term of the contract. If it is mutually decided to renew beyond the initial period and the Contractor requests a price increase, the Contractor shall provide sufficient written certification and documentation to substantiate the request. Documentation shall include, but not be limited to; actual materials invoices, copies of commercial price lists, provision of appropriate indices, etc which reflect said increases. The City reserves the right to accept or reject price increases, to negotiate more favorable terms or to terminate without cost, the future performance of the contract.

3.1 Agreement Forms

- 3.1.1 If a Proposer intends to request that the City of Cedar Rapids enter into any agreement form in connection with the award of this contract, the form must be submitted with the proposal for review by the City's legal counsel during the evaluation of proposals. If such agreement requires that payments be remitted to other than the Proposer, the Proposer shall indicate the name and address of the firm to whom Proposer would request payments to be made, and the firm's relationship to the Proposer.
- 3.1.2 Proposers are advised that in the event any such agreement contradicts the City of Cedar Rapids requirements, the proposal may be rejected due to the contradiction unless Proposer indicated deletion of such clauses.
- 3.1.3 If no agreement form is included with the proposal, no such form will be approved by the City during the evaluation or award processes, or following award of contract.

3.2 Term of Payment

The City's procurement card program allows authorized City employees to purchase goods and services using a MasterCard. Please indicate on the Signature Page whether or not your company will accept payment by MasterCard.

Purchases authorized under this contract that are not charged to the City MasterCard will be paid for upon receipt of an original invoice within thirty (30) days and after all banking service fee disputes are resolved to the City's satisfaction.

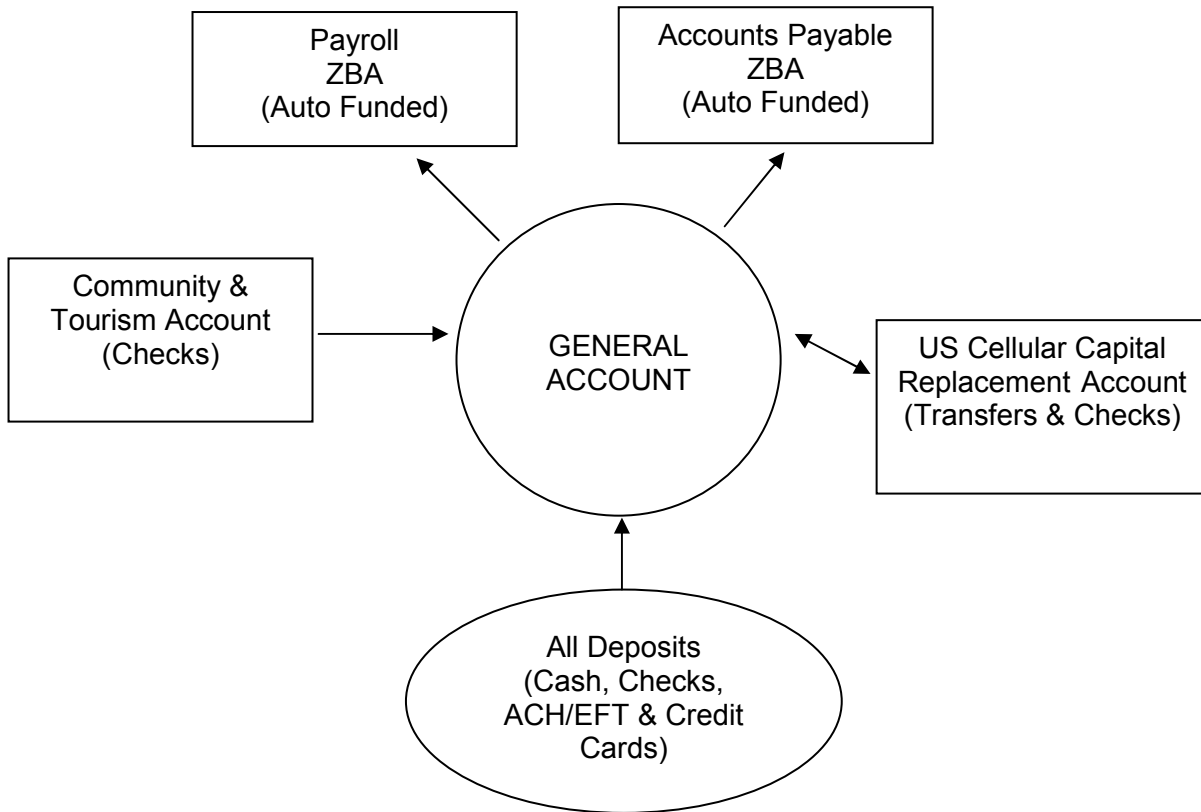
Invoices shall be addressed as follows:

City of Cedar Rapids  
Treasury Operations  
1111 Shaver Rd NE  
PO Box 2148  
Cedar Rapids, IA 52406-2148

## SECTION IV TECHNICAL INSTRUCTIONS AND REQUIREMENTS

- 4.0 Minimum Level of Experience
- 4.0.1 All vendors submitting proposals shall have provided banking services to agencies and/or companies similar in size to the City of Cedar Rapids.
- 4.0.2 All vendors shall have provided services and products similar to those required for the City.
- 4.1 Prequalification
- 4.1.1 Prequalification statements are not required. Proposers are required to submit the prior quarter financial statements and/or the prior year annual report as well as other requested documentation as part of the proposal to assist the City in determining that a contemplated awardee is fully qualified to receive the award.
- 4.2 Background
- 4.2.1 The City of Cedar Rapids is seeking proposals from qualified firms for the provision of basic banking and specialized cash management services for the various operating departments of the City of Cedar Rapids. The objective of this effort is to obtain efficient, effective banking services, which will enhance the City's overall cash management program while maintaining a high level of accountability. To better analyze and understand the needs of the City, this request is separated into five divisions as follows:
- |              |                                     |
|--------------|-------------------------------------|
| Division I   | Basic Banking Services              |
| Division II  | Investment/Safekeeping Services     |
| Division III | Coin Handling and Counting Services |
| Division IV  | Controlled Disbursements            |
| Division V   | Alternative Services                |
- 4.3 Division I: Basic Banking Services
- 4.3.1 Vendor (AP) payments are made on a weekly basis coinciding with formal City Council approval each Wednesday. Payroll for approximately 1,375 full-time employees is issued on a bi-weekly basis. Approximately 95% of full-time employees participate in Direct Deposit of their payroll checks. The City also employs approximately 2,200 seasonal workers, primarily in the summer months, and that payroll is issued on an alternating bi-weekly basis. Friday is the usual payday for each payroll.
- 4.3.2 The City intends to use an account structure consisting of the following primary accounts:
- 4.3.2.1 General Operating Account
- 4.3.2.2 Payroll Account (ZBA)
- 4.3.2.3 Accounts Payable/Controlled Disbursements Account (ZBA) (Division IV)
- 4.3.3 The City may, at its discretion, require additional accounts for other business or component units. Those entities are currently identified as the US Cellular Center, Paramount Theatre, Ice Arena and the Cedar Rapids/Linn County Solid Waste Agency. Pricing and concessions for those accounts are expected to be identical to the basic banking schedule.

#### 4.3.4 City of Cedar Rapids Account Relationships



#### 4.4 Division II: Investment/Safekeeping Services

4.4.1 To protect against fraud and embezzlement, the invested assets of the City shall be secured through custody and safekeeping procedures. Settlement and safekeeping services are required for the City's investment portfolio while retaining accounting integrity for the city's general pooled investments and other retirement surplus accounts. Investments held are currently comprised of only Government Treasuries and Agencies. The portfolio reporting and pricing will be the responsibility of the City. The City will continue to follow the practice of separately bidding investments and utilizing delivery vs. payment settlement.

4.4.2 The proposer should include with the proposal documentation a statement of the bank's earnings credit policy for overnight investments, a statement of the interest rate policy on periodic investment of funds predicated on Federal Fund rates, evidence of compliance with Chapter 12B.10C of the Iowa Code, a draft of a proposed bailment agreement, and samples of the custodial and investment reports. The City requires the following: one week's advance notice for interest due and investment maturity, monthly summary of all transactions together with a current inventory, and a year-end summary of all transactions.

#### 4.5 Division III: Coin Handling and Counting Services

4.5.1 The City departments requiring coin handling and counting services are the General Parking Division of the City's parking system and the City Transit Department. The total coin generated is expected to be on average in excess of \$20,000 monthly. Deposits from parking meter collections will occur on average three or four times per week with the delivery of locked canisters which will be provided by parking system employees. Deposits from the City Transit Department will arrive in a locked, wheeled fare-box vault, containing both currency and coin, and will be required to be counted and reconciled upon delivery by a bank courier. The coin is to be counted and credit

shall be given on the same day as received by the bank with the exception of holiday and weekend pick-ups. The reconciliation of coin containers (either fare-box vault or parking canister) with routing of the documentation is to be prescribed by the City Treasurer.

4.6 Division IV: Controlled Disbursements

4.6.1 In an effort to enhance the City's overall cash management program, an alternative service, that of a controlled disbursement system, may be utilized. It is anticipated that a corresponding bank in Iowa will be named as the site for controlled disbursements. Several aspects of this program are addressed in Attachment A.

4.7 Division V: Ancillary Services

4.7.1 The City is interested in obtaining pricing for cash management and other ancillary services not currently in use. The City is interested in a description of EDI and FEDI capabilities currently offered by your institution. In addition, please describe your retail lockbox capabilities.

4.8 The Finance Division requires the ability to perform a full auto reconciliation of all banking transactions utilizing an electronic format. Account reconciliation services for checks, deposits and various electronic transactions will consist of the following: check sort (Accounts Payable and Payroll), reconciliation reports with an ending date as of the last day of the month, on-line bank services for stop payments and the ability to download timely reconciliation reports. A JPG file on CD-ROM for viewing individual cancelled checks is required within 10 days after the end of the month. The ability to view images, using non-proprietary software is highly desirable. The City currently makes some vendor payments via ACH, but intends to increase payments via this method over the term of this proposal.

4.9 Positive pay services will be required on the accounts payable controlled disbursement account and the payroll accounts. The City will provide the issuance information to the financial institution either as a file, or alternatively via an on-line manual entry process. The City desires to have the option of decisioning a check, shown as an exception, through an on-line process.

4.10 The City desires the ability to download all account transactions in a format that can be interfaced with its financial system, which is presently PeopleSoft Financials.

4.11 Silence of Specifications – Commercially accepted practices shall apply to any detail not covered in this specification and to any omission of this specification. Any omission or question of interpretation of the specification that affects the performance or integrity of the service being offered shall be addressed in writing and submitted with the Proposal.

## SECTION V METHOD OF EVALUATION

- 5.0 Contract Award - Any contract award(s) made by the City of Cedar Rapids is subject to prior approval by the City of Cedar Rapids City Council.
  - 5.0.1 Award of contract shall be made to the most responsible and responsive proposal from a Company whose proposal offers the greatest value to the City with regard to the criteria detailed and the specifications set forth herein. The City may select a Proposer based on an “all or none” proposal, on individual responses, or as is otherwise deemed to be in the best interest of the City.
  - 5.0.2 The process of awarding the Contract shall be as follows:
    - 5.0.2.1 The evaluation team shall determine which Proposer has submitted the most responsive and responsible proposal using the criteria set forth below, and make its recommendation to the City Council, if applicable.
    - 5.0.2.2 The City Council shall consider a resolution awarding the contract and authorizing the City Manager to sign the Letter of Agreement on behalf of the City. Note, as provided for by Section 4.03 of the Cedar Rapids Municipal Code, no contract shall be deemed to be created and exist, unless and until the City Council adopts a resolution awarding the contract and authorizing the City Manager to sign the Letter of Agreement.
    - 5.0.2.3 The City Manager executes the Letter of Agreement.
    - 5.0.2.4 The City issues a purchase order to the Contractor. The purchase order shall constitute authorization for the Contractor to commence the work.
- 5.1 Proposal Evaluation Criteria - In general, the proposals will be evaluated based on, but not limited to, the general evaluation criteria stated below and the completeness, clarity and content of the proposal.
  - 5.1.1 Qualifications and Experience
    - 5.1.1.1 Years of Experience of Key Personnel
    - 5.1.1.2 Feedback from References
    - 5.1.1.3 Knowledge and experience with providing similar requirements as the City’s proposed needs
    - 5.1.1.4 Demonstrated commitment to customer service excellence
    - 5.1.1.5 Financial responsibility/stability/capitalization
    - 5.1.1.6 Ability to provide the required transaction and other reporting information
  - 5.1.2 Cost
  - 5.1.3 Company Responsiveness to RFP
    - 5.1.3.1 Total scope of services offered
    - 5.1.3.2 Compliance with required services
    - 5.1.3.2 Responses to overall proposal and compliance with submission guidelines
    - 5.1.3.3 Proposal presentation (completeness, organization, appearance, etc.)
- 5.2 The City of Cedar Rapids reserves the right to use both primary and secondary suppliers or to otherwise use multiple sources to protect the City’s overall interests.
- 5.3 Financial terms will not be the sole determining factor in the award. To determine the award the City will use a proposal evaluation method that will enable them to award a contract to the Proposer offering services and experience that represents the best overall value to the City.
- 5.4 Proposal Evaluation Procedures - Proposals will be evaluated individually by a Proposal Evaluation Team (hereinafter referred to as the Team) using the “Proposal Evaluation Criteria” as listed above.
  - 5.4.1 Team members will evaluate each proposal based on their experience and judgment of how well the proposal addresses the City’s requirements. Each prospective company

is assured that any proposal submitted will be evaluated independently using the best available information and without any forgone conclusions.

5.4.2 Consideration will also be given to written clarification provided during the evaluation process and input from staff or other persons judged to have useful expertise that should be considered in a responsible, fair assessment of the relative merits of each proposal.

5.4.3 The Team may arrange demonstrations and/or conference calls with representatives of the companies whose proposals are deemed to be within the "competitive range." The purpose shall be the clarification of any aspect of the proposal that is deemed to have a material bearing on arriving at a fair determination of which proposal offers the best overall value. In addition, each Proposer deemed to be within the competitive range may be invited to submit a "best and final offer" if such seems appropriate under the clarifications issued to the provisions and specifications of this solicitation.

5.5 A Proposer's submission of a proposal constitutes its acceptance of this evaluation technique and its recognition and acceptance that subjective judgments will be used by the evaluators in the evaluation.

5.6 Following award of the contract to the successful Proposer, a Resolution will be prepared and submitted to the City Council for its approval. Upon execution of the resolution, a purchase order will be issued to the successful Proposer which will be the document that authorizes this purchase to be made.

**SECTION VI  
FINANCIAL AND NON-FINANCIAL PROPOSAL**

- 6.0 Financial Proposal: This portion of the Company's proposal shall include ONLY the proposed cost. Company shall submit Attachment B with its proposal.
- 6.1 Non-Financial Proposal: Proposers may either complete Attachment A on the form or submit the answers in Proposer's own format. If using own format, proposals shall include the Item ID Number and all materials of the proposal relating to the services, specifications, requirements and other information of a non-financial nature.

**DOCUMENTS TO BE SUBMITTED WITH THIS PROPOSAL**

1. Certificate of Insurance – Pages 4 and 14
2. Signature Page – Page 13
3. Program Specifications and Response Form – Attachment A
4. Cost Proposal – Attachment B
5. General Company Information – Attachment C

**SECTION VII  
SIGNATURE PAGE**

The undersigned bidder, having examined these documents and having full knowledge of the condition under which the work described herein must be performed, hereby proposes that she/he will fulfill the obligations contained herein in accordance with all instructions, terms, conditions, and specifications set forth; and that she/he will furnish all required products/services and pay all incidental costs in strict conformity with these documents, for the stated prices as payment in full.

Submitting Firm: \_\_\_\_\_

Address: \_\_\_\_\_

City: \_\_\_\_\_ State: \_\_\_\_\_ Zip: \_\_\_\_\_

Authorized Representative (print): \_\_\_\_\_ Title: \_\_\_\_\_

Authorized Signature: \_\_\_\_\_

Date: \_\_\_\_\_ E-mail: \_\_\_\_\_

Phone # ( ) \_\_\_\_\_ Fax # ( ) \_\_\_\_\_

**EXCEPTIONS/DEVIATIONS** to this Request for Bid shall be taken below. If adequate space is not provided for exceptions/deviations, please use a separate sheet of paper. If your company has no exceptions/deviations, please write "No Exceptions" in the space below.

\_\_\_\_\_

\_\_\_\_\_

**GENERAL INFORMATION**

- FOB point in terms of loss or damage is destination.
- Freight and/or delivery charges are to be included in the price of the goods.

**FIRM PRICING**

Offered prices shall remain firm for a minimum of 60 days after the due date of this solicitation unless indicated otherwise. Accepted prices shall remain firm for the duration of the contract.

**ADDENDA {It is Bidder's responsibility to check for issuance of any addenda}**

The authorized representative hereby acknowledges receipt of the following addenda:

Addenda Number: \_\_\_\_\_ Date: \_\_\_\_\_ Addenda Number: \_\_\_\_\_ Date: \_\_\_\_\_

Addenda Number: \_\_\_\_\_ Date: \_\_\_\_\_ Addenda Number: \_\_\_\_\_ Date: \_\_\_\_\_

**PAYMENT METHOD**

Do you accept a credit card for payment of purchases? Yes  No

**QUICK PAY DISCOUNT**

If you provide a discount for quick payment, please state the discount and terms: \_\_\_\_\_ % \_\_\_\_\_ days

Does this discount apply to payments made by MasterCard? Yes  No

## SECTION VIII INSURANCE INFORMATION

VENDOR, at its own expense, shall procure and maintain during the entire term of this Agreement and any extensions thereof, the following insurance so as to cover risks which shall arise directly or indirectly from VENDOR'S obligations and activities.

1. **Workers Compensation and Employers Liability Insurance** meeting the requirements of the Iowa Workers Compensation Law covering all the VENDOR'S employees carrying out the work involved in this contract.
2. **General Liability Insurance** with limits of at least \$1,000,000 per occurrence for Bodily Injury and Property Damage. As a minimum, coverage for Premises, Operations, Products and Completed Operations shall be included. This coverage shall protect the public or any person from injury or property damages sustained by reason of the VENDOR or its employees carrying out the work involved in this contract.
3. **Automobile Liability Insurance** with **either** a combined limit of at least \$1,000,000 per occurrence for bodily injury and property damage without sub-limits **or** split limits of at least \$1,000,000 for bodily injury per person per occurrence and \$1,000,000 for property damage per occurrence. Coverage shall include all owned, hired, and non-owned motor vehicles used in the performance of this contract by the VENDOR or its employees.

**Subcontractors:** In the case of any work sublet, the VENDOR shall require subcontractors and independent contractors working under the direction of either the VENDOR or a subcontractor to carry and maintain the same workers compensation and liability insurance required of the VENDOR.

**Qualifying Insurance:** Policies shall be issued by insurers authorized to do business in the State of Iowa and currently having an A.M. Best Rating of "B" or better. All policies shall be occurrence form and not claims made form. The VENDOR shall be responsible for deductibles and self-insured retentions in the VENDOR'S insurance policies.

**Additional Insured:** The City of Cedar Rapids, its officers and employees shall be named as additional insureds on the VENDOR'S, subcontractor's, and independent contractor's liability insurance policies and certificates of insurance. This provision does not apply to professional liability insurance and workers compensation insurance.

### **CERTIFICATE OF INSURANCE REQUIREMENTS**

1. The Description area of the certificate should state: **The City of Cedar Rapids, its officers and employees** are named as additional insureds. List Banking Services as the scope of work the certificate covers.
2. The minimum liability limits required by the CITY are: **(\$1,000,000)**. This must be **occurrence form** liability coverage.
3. The following address must appear in the Certificate Holder section:  
City of Cedar Rapids  
Purchasing Services Division  
3851 River Ridge Drive NE  
Cedar Rapids, IA 52402
4. Certificates may be sent by e-mail, fax (319-286-5130), mail or delivery to the attention of Diane Rodenkirk. A certificate of insurance must be provided to the CITY prior to the execution of this Agreement.
5. During the term of the Agreement, VENDOR shall provide the CITY with renewal certificates of insurance 20 days prior to policy expiration dates.

## SECTION IX GENERAL TERMS AND CONDITIONS

1. **LANGUAGE, WORDS USED INTERCHANGEABLY** - The word CITY refers to the CITY OF CEDAR RAPIDS, IOWA throughout these Instructions and Terms and Conditions. Similarly, PROPOSER refers to the person or company submitting an offer to sell its goods or services to the CITY.
2. **PROPOSER QUALIFICATIONS** - No Proposal shall be accepted from, and no contract will be awarded to, any person, firm or corporation that is in arrears to the City upon debt or contract, that is a defaulter, as surety or otherwise, upon any obligation to the City, or that is deemed irresponsible or unreliable by the City. If requested, Proposers shall be required to submit satisfactory evidence that they have a practical knowledge of the particular supply/service proposal and that they have the necessary financial resources to provide the proposed supply/service as described in the attached Technical Specifications.
3. **PROPOSAL FORM** - In case of a discrepancy between the unit price and the extended price, the unit price shall prevail.
4. **SPECIFICATION DEVIATIONS BY THE PROPOSER/OFFEROR** - Any deviation from this specification **MUST** be noted in detail, and submitted in writing on the Proposal Form. Completed specifications should be attached for any substitutions offered, or when amplifications are desirable or necessary. The absence of the specification deviation statement and accompanying specifications will hold the Proposer strictly accountable to the specifications as written herein. Failure to submit this document of specification deviation, if applicable, shall be grounds for rejection of the item when offered for delivery. If specifications or descriptive papers are submitted with Proposals, the Proposer's name should be clearly shown on each document.
5. **COLLUSIVE PROPOSAL** - The Proposer certifies that the proposal submitted by said Proposer is done so without any previous understanding, agreement or connection with any person, firm, or corporation making a proposal for the same contract, without prior knowledge of competitive prices, and it is, in all respects, fair, without outside control, collusion, fraud or otherwise illegal action.
6. **BROCHURES** - Proposals shall include adequate brochures, latest printed specifications and advertising literature, describing the product offered in such fashion as to permit ready comparison with our specifications on an item-by-item basis where applicable.
7. **SPECIFICATION CHANGES, ADDITIONS AND DELETIONS** - All changes in Proposal documents shall be through written addendum and furnished to all Proposers. Verbal information obtained otherwise will **NOT** be considered in awarding of Proposals.
8. **PROPOSAL CHANGES** - Proposals, amendments thereto, or withdrawal requests received after the time advertised for Proposal Opening, will be void regardless of when they were mailed.
9. **HOLD HARMLESS AGREEMENT** - The Contactor agrees to protect, defend, indemnify and hold harmless the City of Cedar Rapids, its officers and employees from any and all claims and damages of every kind and nature made, rendered or incurred by or in behalf of every person or corporation whatsoever, including the parties hereto and their employees that may arise, occur, or grow out of any acts, actions, work or other activity done by the Contractor, its employees, subcontractors or any independent contractors working under the direction of either the Contractor or subcontractor in the performance of this contract.
10. **ACCELERATED PAY DISCOUNTS** - Accelerated discounts should be so stated on your Signature Page. If quick pay discounts are offered, the City reserves the right to include that discount as part of the award criteria. Prices proposal or proposed must, however, be based upon payment in thirty (30) days after receipt, inspection and acceptance. In all cases, quick pay discounts will be calculated from the date of the invoice or the date of acceptance, whichever is later.
11. **AVAILABILITY OF FUNDS** - Purchases under this contract beyond the end of the current fiscal year are contingent upon the appropriation of funds for such purposes during the ensuing fiscal year(s).
12. **PROPOSAL REJECTION OR PARTIAL ACCEPTANCE** - The City reserves the right to reject any or all Proposals. The City further reserves the right to waive technicalities and formalities in Proposals, as well as to accept in whole or in part such Proposals where it is deemed advisable in protection of the best interests of the City.
13. **PIGGYBACK PROCUREMENT METHOD** - Upon request, the results of this proposal must be extended to any other City of Cedar Rapids department. In addition, the opportunity to purchase from this proposal may be extended to other government entities within Linn County. Any such usage must be in accordance with the policies of the respective entity and with the approval of the Contractor. The Contractor may determine it is necessary to charge delivery fees for entities located outside of the Cedar Rapids metro area. The City is not an agent of, partner to, or representative of these entities and is not obligated or liable for any action or debts that may arise out of such piggyback procurements.
14. **PROPOSAL CURRENCY/LANGUAGE** - All proposal prices shall be shown in US Dollars (\$). All prices must remain firm for the duration of the contract regardless of the exchange rate. All proposal responses must be submitted in English.
15. **PAYMENTS** - Payments will be made for all goods/services delivered, inspected and accepted within 30 days and on receipt of an original invoice.
16. **MODIFICATION, ADDENDA & INTERPRETATIONS** - Any apparent inconsistencies, or any matter requiring explanation or interpretation, must be inquired into by the Proposer in writing at least 72 hours (excluding weekends and holidays) prior to the time set for the Proposal Opening. Any and all such interpretations or

modifications will be in the form of written addenda. All addenda shall become part of the contract documents and shall be acknowledged and dated on the Signature Page.

17. **LAWS AND REGULATIONS** - All applicable State of Iowa and federal laws, ordinances, licenses and regulations of a governmental body having jurisdiction shall apply to the award throughout as the case may be, and are incorporated here by reference.
18. **SUBCONTRACTING** - No portion of this Proposal may be subcontracted without the prior written approval by the City.
19. **ELECTRONIC SUBMITTAL** - Telegraphic and/or proposal offers sent by electronic devices (e.g. facsimile machines) are not acceptable and will be rejected upon receipt. Proposing firms will be expected to allow adequate time for delivery of their proposal either by airfreight, postal service, or other means.
20. **MISCELLANEOUS** - The City reserves the right to reject any and all proposals or parts thereof. The City reserves the right to inspect Supplier's facilities prior to the award of this proposal. The City reserves the right to negotiate optional items with the successful Proposer.
21. **MODIFICATION OF AGREEMENT** - No modification of award shall be binding unless made in writing and signed by the City.
22. **CANCELLATION** - Either party may cancel the award in the event that a petition, either voluntary or involuntary, is filed to declare the other party bankrupt or insolvent or in the event that such party makes an assignment for the benefit of creditors.
23. **PATENT GUARANTEE** - Proposer shall, with respect to any device or composition of Proposer's design or Proposer's standard manufacture, indemnify and hold harmless the City, its employees, officers, and agents, from costs and damage as finally determined by any court of competent jurisdiction for infringement of any United States Letters Patent, by reason of the sale of normal use of such device or composition, provided that Proposer is promptly notified of all such actual or potential infringement suits, and is given an opportunity to participate in the defense thereof by the City.
24. **TERMINATION OF AWARD FOR CAUSE** - If, through any cause, the successful Proposer shall fail to fulfill in a timely and proper manner its obligations or if the successful Supplier shall violate any of the covenants, agreements or stipulations of the award, the City shall thereupon have the right to terminate the award by giving written notice to the successful Proposer of such termination and specifying the effective date of termination. In that event, and as of the time notice is given by the City, all finished or unfinished services, reports or other materials prepared by the successful Proposer shall, at the option of the City, become its property, and the successful Proposer shall be entitled to receive compensation for any satisfactory work completed, prepared documents or materials as furnished. Notwithstanding the above, the successful Supplier shall not be relieved of liability to the City for damage sustained by the City by virtue of breach of the award by the successful Supplier and the City may withhold any payments to the successful Supplier for the purpose of set off until such time as the exact amount of

damages due the City from the successful Supplier is determined.

25. **TERMINATION OF AWARD FOR CONVENIENCE** - The City may terminate the award at any time by giving written notice to the successful Supplier of such termination and specifying the effective date thereof, at least thirty (30) working days before the effective date of such termination. In that event, all finished or unfinished services, reports, materials(s) prepared or furnished by the successful Proposer under the award shall, at the option of the City, become its property. If the award is terminated due to the fault of the successful Proposer, termination of award for cause relative to termination shall apply. If the award is terminated by the City as provided herein, the successful Supplier will be paid an amount as of the time notice is given by the City which bears the same ratio to the total compensation as the services actually performed or material furnished bear to the total services/materials the successful Proposer covered by the award, less payments of compensation previously made.
26. **FORCE MAJEURE** - For the purpose hereof, force majeure shall be any of the following events: acts of God or the public enemy; compliance with any order, rule, regulation, decree, or request of any governmental authority or agency or person purporting to act therefore; acts of war, public disorder, rebellion, terrorism, or sabotage; floods, hurricanes, or other storms; strikes or labor disputes; or any other cause, whether or not of the class or kind specifically named or referred to herein, not within the reasonable control of the party affected.  
  
A delay in or failure of performance of either party shall not constitute a default hereunder nor be the basis for, or give rise to, any claim for damages, if and to the extent such delay or failure is caused by force majeure.  
  
The party who is prevented from performing by force majeure (i) shall be obligated, within a period not to exceed fourteen (14) days after the occurrence or detection of any such event, to give notice to the other party setting forth in reasonable detail the nature thereof and the anticipated extent of the delay, and (ii) shall remedy such cause as soon as reasonably possible.
27. **ACCEPTANCE OF TERMS BY SHIPMENT** - Shipment of all or any portion of the goods covered by any order placed shall be deemed an acceptance of the proposal upon the terms and prices set forth herein.
28. **ASSIGNMENT** - Proposer shall not assign this order or any monies to become due hereunder without the prior written consent of the City. Any assignment or attempt at assignment made without such consent of the City shall be void.
29. **EQUAL OPPORTUNITY** - The successful firm agrees not to refuse to hire, discharge, promote, demote, or to otherwise discriminate in matters of compensation against any person otherwise qualified solely because of race, creed, sex, national origin, ancestry or physical handicap.
30. **SPECIFIC DELIVERY SCHEDULE** - For purposes of this proposal and subsequent awards, City holiday closures are typically New Year's Day, President's Day, Good Friday, Memorial Day, Independence Day, Labor Day, Veterans Day, Thanksgiving Day and the day following, Christmas Eve and Christmas Day. Deliveries

generally will not be accepted on those dates. (Please note these dates on your calendars for deliveries, sales calls, etc. throughout the year.)

Notice of a late delivery arrival should be made no more than twenty-four (24) hours prior to the originally scheduled time. Otherwise, the City may not be available to facilitate the receiving and the shipment will be returned to you or its originating point.

31. **EXTENSION** - Any or all of the awards made as a result of this Request for Proposal may be extended for an additional period of time, up to one year, if mutually agreed between the parties.
32. **FREIGHT** - Those charges are to be included in the quoted price of these materials, rather than as a separate item unless otherwise noted.
33. **FOB POINT** - In terms of loss or damage, as well as where title to the goods is passed, please quote FOB - Destination.
34. **METHOD OF AWARDING/QUOTING** - The City reserves the right to make awards based on the entire proposal or on an individual basis. However if you offer

your proposal based on an "all or none" condition, the City may consider your proposal non-responsive and reject the entire proposal.

35. **TAXES** - The City of Cedar Rapids is exempt from sales tax and certain other use taxes. Any charges for taxes from which the City is exempt will be deducted from invoices before payment is made.
36. **PROPOSAL INFORMATION IS PUBLIC** - All documents submitted with any proposal or proposal shall become public documents and subject to Iowa Code Chapter 22, which is otherwise known as the "Iowa Open Records Law". By submitting any document to the City of Cedar Rapids in connection with a proposal or proposal, the submitting party recognized this and waives any claim against the City of Cedar Rapids and any of its officers and employees relating to the release of any document or information submitted. Each submitting party shall hold the City of Cedar Rapids and its officers and employees harmless from any claims arising from the release of any document or information made available to the City of Cedar Rapids arising from any proposal opportunity.